IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

V. Kashyap

Group Art Unit:

2184

Examiner:

Unassigned

Filed:

December 31, 2001

Appl'n Number:

10/040,123

Title:

CONNECTION CACHE FOR HIGHLY AVAILABLE TCP SYSTEMS

WITH FAIL OVER CONNECTIONS

Commissioner for Patents Washington, D.C. 20231

By fax to 703-746-7239 - TC2100

No additional fee is required.



AMENDMENT/RESPONSE TRANSMITTAL

Transmitted herewith for filing is an Amendment in the above-identified Application.

	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra
Total	15	20	0
Independent	2	3	0
First Pr	esentation of Multiple	Dependent Claim	

The filing fee has been calculated as follows:

Other Than Small Entity

Rate	Additional Fee
x \$18.00=	\$0.00
x \$84.00=	\$0.00
\$260.00	\$0.00
TOTAL	\$0.00

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Regulact	tor	Extension	At I	ime
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Applicants respectfully request a month(s) extension of time	to 105poine to mic omico
Action dated . Please charge Deposit Account 50	1336 in the amount of \$ A
duplicate copy of this sheet is enclosed.	

Deposit Account Authorization:

Please charge Deposit Account No. 501336 in the amount of \$, the Additional
Fee calculated above. A duplicate copy of this sheet is enclosed.	

X	The Commissioner is hereby authorized to charge payment of the following fees associated
	with this communication or credit any overpayment to Deposit Account 501336. A
	duplicate copy of this sheet is enclosed.

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Docket No.: Serial No.:

BEA9-2000-0011-US2

10/040,123

Preliminary Amendment Transmittal September 12, 2002 X

Any additional filing fees required under 37 C.F.R. §1.16. Any patent application processing fees under 37 C.F.R. §1.17.

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Respectfully submitted,

IBM Corporation IP Law Dept. - EDO2-06 15450 SW Koll Parkway Beaverton, OR 97006-6063 (503) 578-5020 voice (503) 578-5040 fax Pryor A. Garnett
Attorney for Applicants
Registration No. 32,136

Docket No.: BEA9-2000-0011-US2 Serial No.: 10/040,123 Preliminary Amendment Transmittal September 12, 2002



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PRELIMINARY AMENDMENT

Please amend the above-identified application as follows.

In the Specification

Please add the following paragraph beginning at page 1, line 3:

CROSS-REFERENCES TO RELATED PATENT APPLICATIONS

This application is a continuation-in-part of U.S. Serial No. 09/752,841, filed on December 29, 2000, by Vivek Kashyap and entitled "Highly Available TCP Systems with Fail Over Connections".

REMARKS

This Preliminary Amendment is made to update the Specification to include the "Cross-References to Related Applications" paragraph noting the relationship between this application and its parent application. The benefit of the priority of that parent application was claimed in the Declaration filed in this application (attached as Exhibit A to this Preliminary Amendment).

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Docket No.:

BEA9-2000-0011-US2

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10/040,123

Preliminary Amendment

September 12, 2002

Applicant submits that this application is now in condition for examination, and respectfully requests early and favorable consideration of its claims. The Examiner is urged to call the undersigned at the below-listed telephone number if, in the Examiner's opinion, such a phone conference would expedite or aid in the search or examination of this application.

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Respectfully submitted,

Pryor A. Garnett

Attorney for Applicants Registration No. 32,136

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